

Report of Berkshire West CCG Governing Body: 11 December 2018

Title	Risk Management and Assurance <ul style="list-style-type: none"> • Summary Risk Profile • Red-rated risks from the Corporate Risk Register
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Purpose	To provide for review and agreement the updated Governing Body Assurance Framework Summary Risk Profile and the red-rated risks from the corporate risk register.
Previously considered by	The GBAF and related Summary Risk Profile are reviewed on a rolling basis by the Audit Committee and the CCG Governing Bodies. The CRR is regularly considered by Audit Committee, Quality Committee, Finance Committee and (for red rated risks) Governing Bodies.
Risk and Assurance	Providing assurance that governance and risk management and related processes are in place
Financial and resource implications	None
Legal implications/regulatory requirements	The CCGs have a duty to determine their strategic objectives and identify associated risks, controls and assurances
Consultation, public engagement & partnership working implications/impact	The GBAF, the summary risk profile and risk related reports are made public in the forum of Governing Body meetings.
Public Sector Equality Duty	N/A

Executive Summary

In line with the CCG's Risk Management Framework and Strategy and the Risk Accountability Framework, the November Governing Body Assurance Framework Summary Risk Profile and the high level corporate risks are presented for review and agreement by Members.

Recommendation

The Governing Body is invited to:

- Review and agree the November iteration of the Summary Risk Profile
- Note the management of red rated risks from the Corporate Risk Register

Background

The Governing Body has overall responsibility and accountability for the management of risk associated with the CCG's activities. It discharges this responsibility through regular review of the Governing Body Assurance Framework and related reports as set out in the CCG's Risk Management Framework and Strategy.

Governing Body Assurance Framework (GBAF) Summary Risk Profile (SRP)

The GBAF is an NHS risk management method, through which governing bodies are able to identify the principal risks to the organisation's achievement of its strategic objectives. The SRP provides a snapshot of the current status of the risks described in the GBAF, with comparison of inherent and residual risk scores/ratings.

The profile has remained static this month. This is not unusual for strategic risks, where changes often take place over a longer timescale.

High-level Risks from the Corporate Risk Register

The Corporate Risk Register is composed of the registers of the individual programme boards and committees, who are responsible for managing and reviewing those risks. Governing Bodies are notified of those risks which retain a residual risk score/rating of ≥ 15 RED.

In October, the total number of risks on the register rose by three (four additions and one closure), and the risks reported as red remained the same, with one new red (*PC5 Routine referrals to Dermatology*) and one de-escalation (*PC1 Cancer standards*).

The extract from the register shows the current position with regards to controls and actions and any corresponding change in residual risk score/rating for those risks that are red this month, plus, for reference, the risk which has been de-escalated this month.

The table below shows the overall profile of risks over the previous 12 months.

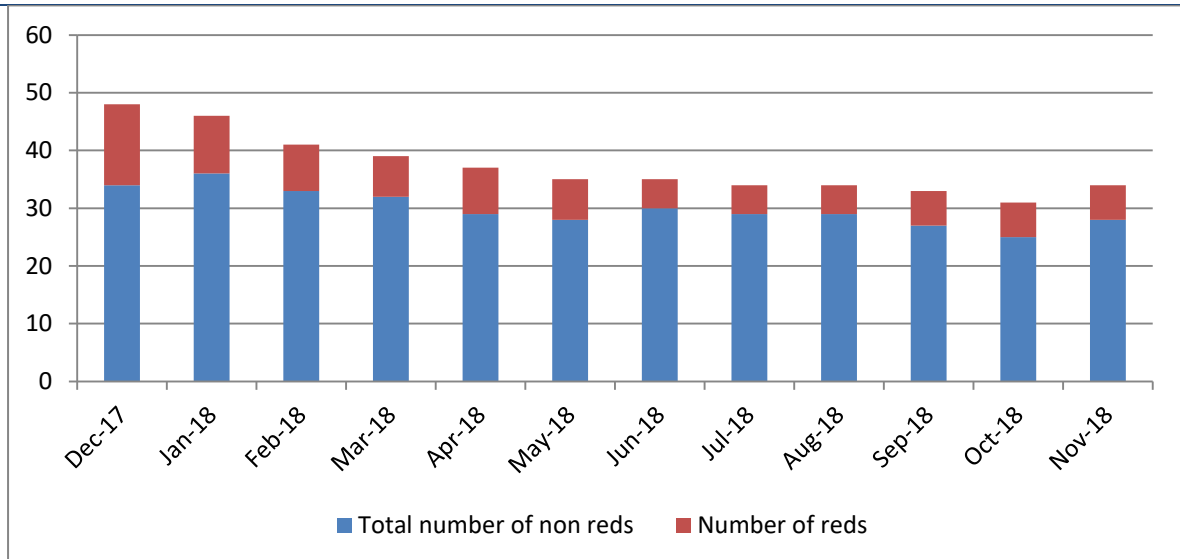


Figure 1 Total risk (red and non-red) tracking over previous 12 months

Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov
14	10	8	7	8	7	5	5	5	6	6	6

Figure 2 Number of red risks