

## Report of Berkshire West CCG Governing Body: 12 March 2019

Title	<b>Risk Management and Assurance</b> <ul style="list-style-type: none"> <li>• Summary Risk Profile</li> <li>• Red-rated risks from the Corporate Risk Register</li> </ul>
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Purpose	To provide for review and agreement the updated Governing Body Assurance Framework Summary Risk Profile and the red-rated risks from the corporate risk register.
Previously considered by	The GBAF and related Summary Risk Profile are reviewed on a rolling basis by the Audit Committee and the CCG Governing Bodies. The CRR is regularly considered by Audit Committee, Quality Committee, Finance Committee and (for red rated risks) Governing Bodies.
Risk and Assurance	Providing assurance that governance and risk management and related processes are in place
Financial and resource implications	None
Legal implications/regulatory requirements	The CCGs have a duty to determine their strategic objectives and identify associated risks, controls and assurances
Consultation, public engagement & partnership working implications/impact	The GBAF, the summary risk profile and risk related reports are made public in the forum of Governing Body meetings.
Public Sector Equality Duty	N/A

### Executive Summary

In line with the CCG's Risk Management Framework and Strategy and the Risk Accountability Framework, the February Governing Body Assurance Framework Summary Risk Profile and the high level corporate risks are presented for review and agreement by Members.

### Recommendation

The Governing Body is invited to:

- Review and agree the February iteration of the Summary Risk Profile
- Note the management of red rated risks from the Corporate Risk Register

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## **Background**

The Governing Body has overall responsibility and accountability for the management of risk associated with the CCG's activities. It discharges this responsibility through regular review of the Governing Body Assurance Framework and related reports as set out in the CCG's Risk Management Framework and Strategy.

### **Governing Body Assurance Framework (GBAF) Summary Risk Profile (SRP)**

The GBAF is an NHS risk management method, through which governing bodies are able to identify the principal risks to the organisation's achievement of its strategic objectives. The SRP provides a snapshot of the current status of the risks described in the GBAF, with comparison of inherent and residual risk scores/ratings.

The profile has remained static this month. This is not unusual for strategic risks, where changes often take place over a longer timescale.

### **High-level Risks from the Corporate Risk Register**

The Corporate Risk Register is composed of the registers of the individual programme boards and committees, who are responsible for managing and reviewing those risks. Governing Bodies are notified of those risks which retain a residual risk score/rating of  $\geq 15$  RED.

In February, the total number of risks on the register fell by one, and the risks reported as red remained the same.

The extract from the register shows the current position with regards to controls and actions and any corresponding change in residual risk score/rating for those risks that are red this month. In addition, for information it includes a risk added in draft last month, which requires GB input, and which will be discussed more fully in the Brexit item on the Governing Body agenda.

The table below shows the overall profile of risks over the previous 12 months.

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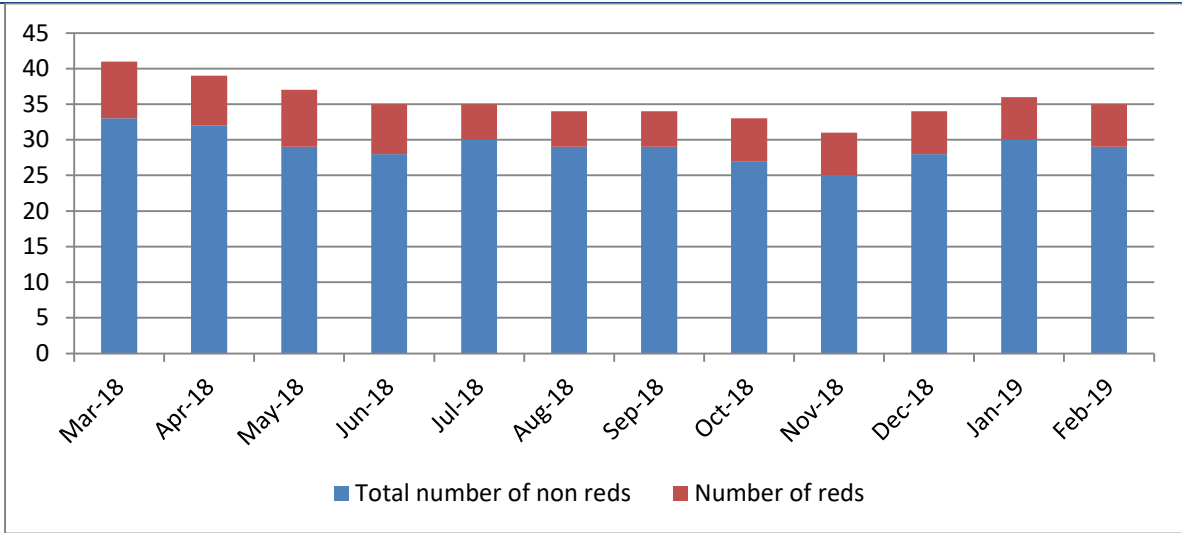


Figure 1 Total risk (red and non-red) tracking over previous 12 months

Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb
7	8	7	5	5	5	6	6	6	6	6	6

Figure 2 Number of red risks